

# OCPF Reports



## From the Director

Mike Sullivan

OCPF has printed thousands of booklets over the years, summarizing the campaign finance law in 20 pages for candidates and committees at all levels.

That very expensive practice has ended this year. Instead, the booklets are available to read on our website (this shift was made possible by a recent revision to the statute).

The change mostly affects local election officials, who distributed the booklets before each election after we mailed the materials to their city and town halls.

I attended the winter conference for city and town clerks recently and informed them of the new policy. We hope it will be easier for clerks because they'll now be able to collect e-mails from their candidates and simply send guides and forms electronically — and it will save the state money in printing and postage. All our guides are available under the "Guides & Fact Sheets" tab by [clicking here](#).

## Depository Transition

OCPF continues to transition PACs and some municipal candidates into the depository system (a new statutory requirement).

Nearly all PACs are in the system, but we're still working with municipal candidates, including

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## New 'Reporter 6' system to launch

### Replaces Reporter 5 and Web Reporter

A new web-based reporting system — Reporter 6 — will launch in April for use by all candidates and committees who file with OCPF.

Its functionality is a combination of OCPF's previous reporting systems, Reporter 5 and Web Reporter, which will cease functioning after the launch of Reporter 6.

Candidates and committees will log into the system at OCPF's website by entering their identification number and password. They will then be able to enter receipts, expenditures and other activity, and e-file the reports.

**OCPF staff members will be available by phone or e-mail to assist committees with**

**filing reports using the new system.**

OCPF will also post instructional videos showing how to file reports. The first of those videos, "How to E-File a Deposit Report," is already available at OCPF's YouTube station and [by clicking here](#). This video assists depository candidates and committees with filing deposit reports. All instructional videos will be posted to the front page of the OCPF website and on the agency's Twitter feed, @OCPFreports.

The Reporter 6 system was created to

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The web-based Reporter 6 filing system was created by OCPF's technology department, from left, Al Grimes, Sam Tsoi and Tracey Dano. Please call them with any questions about how to use the Reporter filing system. (617-979-8300 / [ocpf@cpf.state.ma.us](mailto:ocpf@cpf.state.ma.us))

# Statewide candidates spent \$33.1 million in 2014 cycle

Statewide candidates spent \$33.1 million during the 2013-14 election cycle, an increase of 9 percent from the 2010 election, according to an OCPF review of campaign finance activity by candidates.

Fundraising for 2014 also totaled \$33 million. OCPF's review of statewide campaign finance activity included receipt and expenditure data for 29 candidates for governor, lieutenant governor, attorney general, secretary of state, treasurer and auditor from Jan. 1, 2013, to Dec. 31, 2014.

The candidates for governor in 2014 reported spending \$20.2 million and raising \$19.8 million. These totals are far below the records set in 2006, the last time there was an open seat for governor, when candidates reported spending \$42.3 million and raising \$40.9 million.

The 2014 winner, Republican **Charles Baker**, led all gubernatorial candidates with \$5.6 million in expenditures. That total is \$1.1 million more than he spent in 2010 during an unsuccessful campaign for governor. Baker also led all candidates in fundraising, reporting \$5.8 million in contributions.

**Martha Coakley**, the incumbent attorney general, spent \$3.9 million as the Democratic nominee for governor. She also raised \$3.7 million, of which \$605,844 came from the State Election Campaign Fund – a public financing program. Baker did not participate in the public financing program.

Other gubernatorial candidates who exceeded \$2 million in spending were Democrats **Donald Berwick** and **Steven Grossman**, and **Evan Falchuk** of the United

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## From Page 1: Reporter 6 to launch

move all filers onto one web-based system, and to streamline the technical support that was required to maintain the two previous systems.

### Frequently Asked Questions

**Q: Will the new system save my previous data from Reporter 5?**

## From Page 1: From the Director

mayoral, city council and alderman candidates in Brockton, Fall River, Lawrence, Lynn, Newton, New Bedford, Quincy and Somerville. It's important for PACs and candidates to contact us if they have not yet appointed a depository bank to file on their behalf. Additional information about the transition is available on page five of this newsletter.

### Summer Seminars

OCPF will schedule about a dozen seminars this summer for municipal candidates appearing on fall ballots. Look for that list in the next newsletter and at our Twitter account at @OCPFreports.

Please call with any questions.

Mike Sullivan, Director



A: Yes. Most data from Reporter 5 will easily migrate into the Reporter 6 system.

**Q: Can I still use Reporter 5 or the old Web Reporter?**

A: No. Both of those systems will be discontinued when the Reporter 6 system is launched.

**Q: Will the new Reporter 6 system auto-fill a 2014 donor's name if he or she gives again in 2015?**

A: Yes.

**Q: Can we use an Apple computer to file reports using Reporter 6?**

A: Yes. Reporter 6 is web-based and functional on all computers and tablets, such as iPads and the Microsoft Surface.

**Q: Can Reporter 6 be used by municipal candidates who file locally on paper?**

A: Yes. Please contact OCPF for an identification number and password.

# Recent Cases & Rulings

*OCPF audits all campaign finance reports and reviews all complaints alleging violations of the campaign finance law. These audits and reviews may result in enforcement actions or rulings (below). OCPF does not comment on any matter under review, nor does the office confirm or deny that it has received a specific complaint. The identity of any complainant is kept confidential. PRLs and disposition agreements are matters of public record once cases are concluded.*

## Public Resolution Letters

*A public resolution letter may be issued in instances where the office found “no reason to believe” a violation occurred; where “no further action” or investigation is warranted; or where a subject “did not comply” with the law but, in OCPF’s view, the case is able to be settled in an informal fashion with an educational letter or a requirement that some corrective action be taken. A public resolution letter does not necessarily imply a wrongdoing on the part of a subject and does not require agreement by a subject.*

**CPF-13-82: Charles Lightbody.** Did not comply (disclosure); 11/17/2014. Lightbody personally paid for newspaper advertisements in October, 2013, and February, 2014, concerning local ballot questions. The expenditures were not disclosed in a timely manner, but were eventually filed with the Revere Election Commission.

**CPF-14-61: Dean Santoro, North Billerica.** No further action (disclosure); 12/5/2014. An OCPF review found that Santoro, a candidate for state representative, did not disclose the purchase of stickers and a mailing during the 2014 election. After being contacted by OCPF, Santoro filed the required information.

**CPF-15-01: Grace Ross, Worcester.** Did not comply (disclosure, wire transfer); 1/21/2015. The Ross Committee did not disclose receipts in a timely manner, and the candidate wired money from the committee account to her personal account to make campaign expenditures, which is prohibited. After being contacted by OCPF, the committee filed amendments to accurately disclose its campaign finance activity.

**CPF-14-70: Mayor Samuel Sutter, Fall River.** No reason to believe (corporate contributions); 2/6/2015. OCPF re-

ceived a complaint that the Sutter Committee received prohibited corporate contributions when two bus companies and a bakery provided goods and services to the campaign during the special election for mayor in Fall River. According to OCPF’s review, the committee paid for the bus services and did not receive prohibited corporate contributions. In addition, the bakery made a legal in-kind contribution because it is a sole proprietorship. Sole proprietorships can make contributions to candidates.

## Memorandums

*OCPF issued two new memorandums:*

**M-14-03:** Reports of Independent Expenditures Made by Individuals or Entities Other than Independent Expenditure PACs. This memo is intended to explain the statutory and regulatory requirements that apply where entities (excluding independent expenditure political action committees) make independent expenditures.

**M-14-02:** Reports of Electioneering Communications. This memo is intended to explain the statutory and regulatory requirements that apply where an individual, group, association, corporation, labor union or other entity *not defined as a political committee* makes electioneering communications.

## Contact OCPF’s Legal Team

OCPF’s lawyers are available to answer questions concerning the campaign finance law.

Our attorneys, Gregory Birne, Sarah Hartry and Maura Cronin, are available at 617-979-8300.

# Mandatory treasurer training starts this spring

The new requirement for committee treasurers to complete on-line training starts this spring.

Existing treasurers will be given written notice on how and when to complete their initial training. The notice will provide log-in information to allow treasurers to complete the training at OCPF's website, [www.ocpf.us](http://www.ocpf.us). The training lasts about 15 minutes.

After completing the initial training this year, it will be required every two years during the first six months of an odd-numbered year, starting in 2017. Future treasurers are required to take the



training within 30 days of becoming treasurer, and during the first six months of each odd numbered year thereafter.

**Only treasurers who file with OCPF are required to complete the on-line training,** except for treasurers of local party committees, who will not be required to complete treasurer training this year. Treasurers who file locally are not required to complete the training.

The requirement for on-line treasurer training was signed into law last year by former Gov. Deval Patrick.

You can follow OCPF on Twitter for updates at [@OCPFreports](https://twitter.com/OCPFreports). Updates will also be posted to the front page of OCPF's website.

## Three candidates receive more than \$1 million in matching public funds

A total of \$1,065,704 was distributed to three candidates from the State Election Campaign Fund.

Martha Coakley, the Democratic nominee, received \$605,844 in matching funds.

The remainder was provided to Democratic candidate Donald Berwick, \$308,976, and to unenrolled candidate Jeffery McCormick, \$150,884.

The public financing system has been in place for every election since 1978, except in 2002, when the Clean Elections program covered candidates for statewide office.

In the nine statewide elections since its institution, public financing has provided more than \$11.8 million to candidates.

The funds are provided from voluntary contributions of \$1 by state income tax filers.

Not all the funds were used in 2014. The remaining balance of \$180,783 carries over to the 2018 election.

### Total Public Funds Received by Gubernatorial Candidates in 2014

Candidate	Primary	General	Total
Donald Berwick	\$308,976	—	\$308,976
Martha Coakley	\$308,976	\$296,868	\$605,844
Jeffery McCormick	—	\$150,884	\$150,884
<b>Totals</b>	<b>\$617,952</b>	<b>\$447,752</b>	<b>\$1,0665,704</b>

# *DEPOSITORY TRANSITION*

*Initial reports are due March 10 for incumbents in Brockton, Fall River, Lawrence, Lynn, Newton, New Bedford, Quincy and Somerville*

OCPF has extended the “**initial report**” reporting deadline for **mayoral, city council and alderman incumbents** in cities with populations of 75,000 to 100,000 — candidates who are transitioning into the depository reporting system.

**The filing deadline is now March 10, covering the period of 1/1/2015 to 2/28/2015.** All activity during the period should be disclosed on this report.

Please call OCPF at 617-979-8300 for more information.

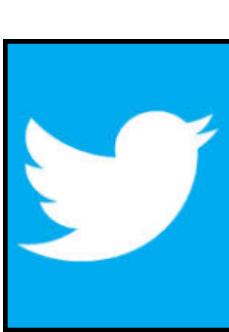
## **OTHER IMPORTANT INFORMATION FOR CANDIDATES**

- To file an initial report, a candidate needs an OCPF identification number and password. Please contact the agency if you don't have that information.
  - Candidates who have not appointed a depository bank by filing a Form CPF D103 should do so immediately. [Click here](#) for the D103.
  - Candidates who are registered with OCPF will appear on our website, [www.ocpf.us](http://www.ocpf.us). [Click here](#) and enter your last name and see if you're organized with OCPF. If you're not orga-

nized with OCPF, and you're a candidate for mayor, city council or alderman in the cities listed above, please contact OCPF.

- **Non-incumbent** mayoral, city council and alderman candidates are required to file an initial report by April 10, covering Jan. 1 to March 31. They must also file a CPF D103 form.

 Commonwealth of Massachusetts	CPF ID #: <span style="background-color: #e0e0ff; border: 1px solid black; padding: 2px 10px;"> </span> <i>(For Office Use Only)</i>
<b>Form CPF D103: Appointment of Depository Bank</b>	
<b>Office of Campaign and Political Finance</b>	
File with: Director Office of Campaign and Political Finance One Ashburton Place, Room 411, Boston, MA 02108	
(617) 979-8300 / (800) 462-OCFP <a href="mailto:ocpf@cpf.state.ma.us">ocpf@cpf.state.ma.us</a> <a href="http://www.mass.gov/ocpf">http://www.mass.gov/ocpf</a>	
CHECK ONE:    CANDIDATES: <input type="checkbox"/> Candidate with Political Committee    OR <input type="checkbox"/> Candidate without Political Committee	
COMMITTEES: <input type="checkbox"/> Political Action Committee <input type="checkbox"/> People's Committee <input type="checkbox"/> State Political Party Committee	
Committee Name: <span style="background-color: #e0e0ff; border: 1px solid black; width: 80%; height: 1.2em; display: inline-block;"> </span>	
Mailing Address: <span style="background-color: #e0e0ff; border: 1px solid black; width: 40%; height: 1.2em; display: inline-block;"> </span> City / State / Zip: <span style="background-color: #e0e0ff; border: 1px solid black; width: 20%; height: 1.2em; display: inline-block;"> </span> <span style="background-color: #e0e0ff; border: 1px solid black; width: 10%; height: 1.2em; display: inline-block;"> </span>	
Treasurer's Name: <span style="background-color: #e0e0ff; border: 1px solid black; width: 80%; height: 1.2em; display: inline-block;"> </span>	
E-Mail: <span style="background-color: #e0e0ff; border: 1px solid black; width: 40%; height: 1.2em; display: inline-block;"> </span> Phone #: <span style="background-color: #e0e0ff; border: 1px solid black; width: 20%; height: 1.2em; display: inline-block;"> </span>	
Mailing Address: <span style="background-color: #e0e0ff; border: 1px solid black; width: 40%; height: 1.2em; display: inline-block;"> </span> City / State / Zip: <span style="background-color: #e0e0ff; border: 1px solid black; width: 20%; height: 1.2em; display: inline-block;"> </span> <span style="background-color: #e0e0ff; border: 1px solid black; width: 10%; height: 1.2em; display: inline-block;"> </span>	



## *Announcements*

## *News*

## *Reporting Tips*

## **How to Contact OCPF's Auditors**

Tricia Jacobson	pjacobson@cpf.state.ma.us
Shane Slater	sslater@cpf.state.ma.us
Jeff Tancreti	jtancreti@cpf.state.ma.us
Leslie Dano	ldano@cpf.state.ma.us
Anne Bourque	abourque@cpf.state.ma.us

# From Page 2: Statewide Spending

Independent Party. **Jeffrey McCormick**, an unenrolled candidate, reported spending \$1.5 million.

The figures for the party finalists do not include **independent expenditures**. In 2014, \$16.9 million in independent expenditures was spent by third-party groups to support or oppose the Democratic and Republican nominees. Independent expenditures are made on behalf of a candidate, but are not made in cooperation or consultation with a campaign. In

2014, most independent expenditures were made by Independent Expenditure “Super” PACs.

Seven candidates for **Lieutenant Governor** spent \$3.3 million, a 32 percent drop from 2010. Baker’s running mate, **Karyn Polito**, led all lieutenant governor candidates in spending with a total of \$1.7 million. **Stephen Kerrigan**, Coakley’s running mate, was second with \$869,090.

Three candidates for **Attorney General** spent a total of \$4.3 million for an open seat, the highest amount recorded in an OCPF study (the previous high was \$3.9 million in 1998). The seat was open because the incumbent, Coakley, ran for governor. The winner, **Maura Healey**, reported spending \$1.4 million. Healy’s Democratic primary opponent, **Warren Tolman**, reported the highest expenditure total in the race, \$1.9 million. The Republican nominee, **John Miller**, reported \$1 million in expenditures.

## Statewide Spending Totals: 2002 to 2014

<i>Office</i>	<i>2002</i>	<i>2006</i>	<i>2010</i>	<i>2014</i>
Governor	\$30,601,910	\$42,313,711	\$17,625,686	\$20,190,837
Lt. Governor	\$11,450,416	\$6,705,113	\$4,814,219	\$3,258,456
Attorney General	\$267,978	\$1,116,341	\$1,322,011	\$4,303,683
Treasurer	\$4,216,362	\$390,454	\$3,515,828	\$4,628,509
Secretary	\$265,304	\$471,136	\$560,164	\$498,321
Auditor	\$297,560	\$320,375	\$2,595,371	\$245,739
<b>TOTAL</b>	<b>\$47,099,531</b>	<b>\$51,317,131</b>	<b>\$30,433,279</b>	<b>\$33,125,545</b>

## Gubernatorial Spending—2013-14

<i>Candidate</i>	<i>Receipts</i>	<i>Expenditures</i>	<i>In-Kind</i>
Charles Baker (R)	\$5,839,881	\$5,582,187	\$1,212,078
Donald Berwick (D)	\$2,475,939	\$2,414,300	\$290
Martha Coakley (D)	\$3,742,769	\$3,939,430	\$2,046,031
Evan Falchuk (U)	\$2,592,815	\$2,575,214	0
Mark Fisher (R)	\$389,286	\$389,011	\$417
Steven Grossman (D)	\$2,350,445	\$2,789,587	\$5,057
Scott Lively (U)	\$36,798	\$35,430	0
Jeffrey McCormick (U)	\$1,540,171	\$1,491,508	0
	<b>\$19,787,016</b>	<b>\$20,190,837</b>	<b>\$3,263,873</b>

In the race for **Secretary**, incumbent and winner **William F. Galvin**, a Democrat, reported \$467,087 in expenditures. His Republican challenger **David D’Arcangelo** spent \$28,097.

Five candidates ran for **Treasurer**, an open seat, and reported spending \$4.6 million, the highest amount reported in an OCPF study. The previous high of \$4.2 million was recorded in 2002. The 2014 winner, **Deborah Goldberg**, reported expenditures of \$2.4 million. **Barry Finegold**, Goldberg’s Democratic primary challenger, was second in spending, reporting \$1.4 million. Republican **Michael Heffernan** reported \$430,604 in expenditures.

Three candidates ran for **Auditor**, spending \$245,739. The winner and incumbent, Democrat **Suzanne Bump**, spent \$177,243, followed by Republican **Patricia Saint Aubin** with \$64,599.

**NOTE 1:** The Coakley Committee changed its office sought from attorney general to governor on Sept. 26, 2013.

**NOTE 2:** The Grossman Committee changed its office sought from treasurer to governor on July 15, 2013.

**NOTE 3:** Two candidates for governor, Joseph Avellone and Juliette Kayyem, disclosed receipts and expenditures but did not qualify for the primary ballot and are not included in this report. Avellone reported \$609,027 in receipts and \$608,131 in expenditures, and Kayyem disclosed \$1,162,252 in receipts and \$1,159,271 in expenditures.